

Marketing Code

802



Thames River Distribution Fund Stocks and Shares ISA application form

This form is an offer to enter into an agreement that covers your transactions with F&C Fund Management Limited. Before completing the form, please ensure that you have read the Simplified Prospectus and Terms and Conditions. Go to Part 5, Declaration, to see if you are eligible to apply for a Stocks and Shares ISA. Your Thames River Multi-Capital investments will be administered in the F&C Fund Management Limited Stocks and Shares ISA.

Please use BLOCK CAPITALS and black ink throughout and ensure you have signed the Declaration in Part 5.

Part 1 Investor Details – this section must be completed

If you hold an existing F&C investment account please enter your account number

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Your details

Title

Surname

First names (in full)

Permanent residential address (including postcode)

Postcode

Daytime telephone number

Evening telephone number

Date of birth

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National Insurance number

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If you do not have a National Insurance number, please tick here

Your National Insurance number

Your National Insurance number is required to open your account. If you do not know your number, you can obtain it from your employer or tax office (or pension book, if you have one). Please note, your National Insurance number will also be used for internal identification purposes.

Part 2 Type of ISA – this section must be completed

Please tick the tax year you would like to invest in.

(Please note that you need a separate application form and cheque for each tax year that you wish to invest):

Your total investment each tax year must not exceed the overall annual subscription limit. The whole amount may be invested in a stocks and shares ISA, that is £10,680 for 2011/2012, or £11,280 for 2012/13. Up to 50% of the overall annual subscription limit may be invested in a cash ISA but this reduces £1 for £1 the amount available for a stocks and shares ISA.

2011/2012 Stocks and Shares ISA **OR** 2012/2013

If neither is ticked the application is in respect of the 2011/2012 tax year.

Part 3 Investment Details and Income Options – *this section must be completed*

You can choose to invest with a lump sum and/or regular savings. Please indicate the amount you would like to invest.

Unless otherwise indicated below your investment will be automatically invested in the accumulation shares and distribution income (interest or dividends) from your investment will be automatically accumulated into the fund. If you wish to receive the income you will need to tick the income box below and also complete Part 4.

Fund Name	Lump Sum Amount (£1,000 minimum)	Regular Savings Amount (£50 minimum)	Tick to receive income shares	Tick to receive accumulation shares
Thames River Distribution Fund	£	£	<input type="checkbox"/>	<input type="checkbox"/>

Part 4 Bank/Building Society Details – *for Distribution Income*

This section only needs to be completed if you wish to receive the income (interest or dividends) from your investment.

Name of bank or building society

Address

Postcode

Sort code

Account number

Names(s) of account holder(s)

Roll number (if applicable)

Application checklist

1. Make sure you have completed each part of the application and signed the Declaration in Part 5.
2. Lump sums – please make your cheque payable to **F&C Fund Management Limited** and enclose it with your application.
3. Regular savings – please enclose a cheque for the first payment and make sure you complete the Direct Debit Instruction. The cheque must match the account details of the Direct Debit.
4. Any cheques or Direct Debits payable to F&C must be drawn from an account in the name of the applicant.
- 6. Where the application is supported by a building society cheque or bankers draft please state the name and account number from which the funds have been drawn either on the front of the cheque, on the back of the cheque together with the branch stamp, or by way of other supporting document.**

The deadline for receipt of applications for the tax year 2011/12 is 12 midnight, 5 April 2012. The deadline for receipt of applications for the tax year 2012/13 is 12 midnight, 5 April 2013.

Please send your application, plus your cheque, together with any other relevant information as specified, to your financial adviser, or to:

F&C Fund Management Limited
PO Box 9040,
Chelmsford,
Essex
CM99 2XH

Receipt at any other offices of F&C or its associates will not be deemed to be receipt for purposes of effecting the ISA.

If you have any queries about completing your application please call us on **0870 601 6183**

Part 5 Declaration & authorisation – *this section must be completed*

I declare that the information given on this completed application form is correct to the best of my knowledge and belief. I confirm that I have read the Simplified Prospectus. I agree to be bound by the terms & conditions relating to the plan and to inform F&C Fund Management Limited in writing immediately of any change in my circumstances.

I understand that this application is subject to acceptance by F&C Fund Management Limited and that they may act on instruction from my financial adviser, if applicable.

I declare that:

- All subscriptions made and to be made to this ISA belong to me;
- I am 18 years of age or over;
- I wish to subscribe in respect of the 2011/12 tax year or 2012/13 tax year as indicated in Part 2 and subsequent years.
- I have not and will not subscribe more than the overall subscription limit in total to a cash ISA and stocks and shares ISA in the same tax year; and (2) I have not subscribed and will not subscribe to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA.
- I am resident and ordinarily resident in the UK for tax purposes or, if not so resident, perform duties which, by virtue of Section 132 (4) (a) of the Income & Corporation Taxes Act 1988 (Crown employees serving overseas), are treated as being performed in the UK, or I am married to or in a civil partnership with a person who performs such duties. I will inform F&C Fund Management Limited if I cease to be so resident and ordinarily resident or to perform such duties or to be married to or in a civil partnership with a person who performs such duties.
- **Lighthouse F A (Affinities) has confirmed to me that their current commission rates apply to this investment.**

I hereby authorise F&C Fund Management Limited:

- To hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and other cash;
- To make on my behalf any claims to relief from tax in respect of ISA investments;
- On my written request, to transfer or pay to me, as the case may be, ISA investments, interest, dividends, rights or other proceeds in respect of such investments or any cash.

Signature

Date

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Data Protection

Information provided by you will be held in confidence by F&C Asset Management group and will not be passed on to other product or service groups. Unless you were introduced to F&C by a financial adviser, your details may be used to send you information on other products and services from F&C and its associates.

If you would prefer not to receive such information, please tick here.



Instruction to your bank or building society to pay by Direct Debit



Please fill in the whole form using a ball point pen and send to:
F&C Fund Management Limited, PO Box 9040, Chelmsford, Essex CM99 2XH.

Name and full postal address of your bank or building society

To: The Manager	Bank/building society
Address	
Postcode	

Name(s) of account holder(s)

Bank/building society account number

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Branch sort code

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Service user number

8	2	4	3	6	1
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Reference

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Instruction to your bank or building society

Please pay F&C Fund Management Limited Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with F&C Fund Management Limited and, if so, details will be passed electronically to my bank/building society.

Signature(s)
Date



Banks and building societies may not accept Direct Debit Instructions for some types of account.

This Guarantee should be detached and retained by the payer.

The Direct Debit Guarantee



- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit F&C Fund Management Limited will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request F&C Fund Management Limited to collect a payment, confirmation of the amount and date will be given to you at the time of the request
- If an error is made in the payment of your Direct Debit, by F&C Fund Management Limited or your bank or building society you are entitled to a full and immediate refund of the amount paid from your bank or building society
 - If you receive a refund you are not entitled to, you must pay it back when F&C Fund Management Limited asks you to
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.

Part 6

For Financial Adviser Use only –
this section must be completed in full. The form may be rejected if the fields have not been filled in.

IFA Company name

Lighthouse F A (Affinities)

Address

Rydon House, Pynes Hill, Exeter, Devon

Postcode

EX2 5AZ

Agent code*

FM4818

FSA number

225502

*This number can be located on your commission statement.

Name of Network or Service Provider you are affiliated with (if relevant)

Please tick to confirm that a client Confirmation of Verification of Identity Form (if applicable) is enclosed.

If you do not wish for your standard dealing terms to apply to this deal, please quote the amount of commission you are going to sacrifice as discount for your client.

Commission sacrifice %

This transaction is subject to the current Terms of Business for Independent Intermediaries available within the Literature Library at www.fandc.com/adviser.



The featured funds are sub-funds of the Thames River Multi-Capital ICVC. Applications may only be made on the basis of the current Prospectus. The Authorised Corporate Director is F&C Fund Management Limited, a subsidiary of F&C Asset Management plc, Authorised (no.121940) and regulated by the Financial Services Authority. Exchange House, Primrose Street, London EC2A 2NY. Registered in England No. 2170242. A registered company, limited by shares.

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